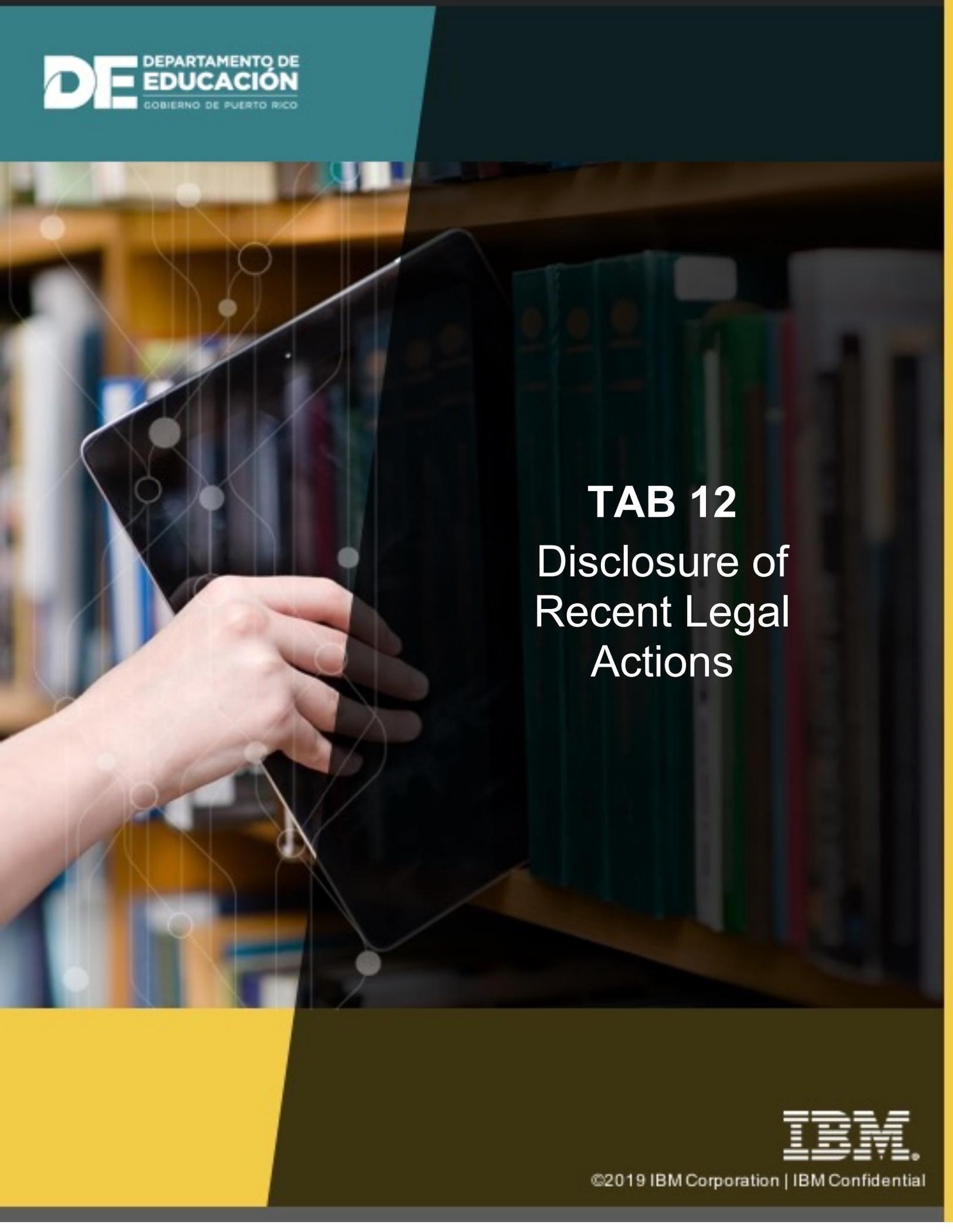


OFFICIAL RECORD DOCUMENT # [4.C-12 IBM PROPOSAL_TAB 12 RECENT LEGAL ACTIONS](#)
PRDE-OSIATD-2018-003-WIRELESS EQUIPMENT AND SERVICES



TAB 12
Disclosure of
Recent Legal
Actions

TAB 12: Disclosure of Recent Legal Actions



List, and briefly describe, any and all legal actions and any judgments entered in the past three (3) years in which the Proposer has been a debtor in bankruptcy, a defendant in a lawsuit for deficient performance under a contract or agreement; a party in an administrative action for deficient performance or a defendant in a criminal action. Proposers must also identify any lawsuits or other legal proceedings and any judgments against them, which directly or indirectly relate to any of the products or services included in their corresponding proposal in the past five (5) years. Indicate N/A if Proposer has not been involved in any legal actions described above.

The Proposer disclosure statement should be dated and signed by an authorized Proposer representative, and include the following representation:

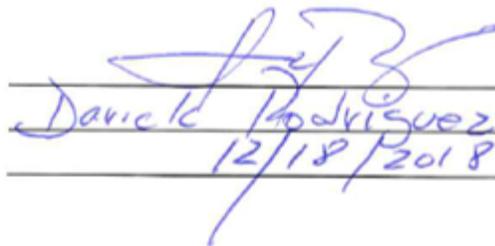
The undersigned, after reasonable inquiry and investigation, has no knowledge of any action, suit, proceeding, or material claim or investigation pending or threatened against [name of Proposer] in any court, or by or before any federal, state, municipal, or other governmental department, commission, board, bureau, agency, or instrumentality, domestic or foreign, or before any arbitrator of any kind, that, if adversely determined, would materially affect [name of Proposer]'s ability, or the ability of its subcontractor(s), to perform the services described in its proposal at the prices set forth in its price proposal.

As a company with a substantial employee population and with clients in more than 175 countries, IBM is involved, either as plaintiff or defendant, in a variety of ongoing claims, demands, suits, investigations, tax matters and proceedings that arise from time to time in the ordinary course of its business. To the extent any such matters are considered a reportable event under the SEC regulations, it would be disclosed in IBM's Form 10-K, Form 10-Q, Form 8-K or other required SEC filings. IBM's SEC filings are available at <http://www.ibm.com/investor/financials/financial-reporting.html#sec>

Signature:

Name/Title:

Date:



Daniel Rodriguez / Service Delivery Manager

12/18/2018
